#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 06005/36578

# PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53

**Box Patent Application** Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventors:

Steve A. Packwood, Brent H. Larson, Larry K. Brown

Title:

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Multi-Protocol Field Device and Communication Method

| 1. | Type | of | <b>Application</b> |
|----|------|----|--------------------|
|----|------|----|--------------------|

- $\boxtimes$ This is a new application for a
  - $\boxtimes$ utility patent.
  - design patent.
- This is a continuation-in-part application of prior application no.

#### 2. **Application Papers Enclosed**

- 1 Title Page
- 17 Pages of Specification (excluding Claims, Abstract, Drawings & Sequence Listing)
- 6 Pages of Claims
- 1 Page of Abstract
- 5 Sheets of Drawings (Figs. 1 to 6)

**Formal** 

M Informal

Page(s) of Sequence Listing

## **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on July 30, 2001, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EK657822380US.

Zimmermann

# 3. Declaration or Oath

|  |    |         |           | Enclosed   |  |           |   |
|--|----|---------|-----------|--|--|-----------|---|
|  |    |         |           | ⊠  | Executed by (check all applicable boxes) |           | check all applicable boxes)   |
|  |    |         |           |  | ፟  | Invent    | or(s)   |
|  |    |         |           |  |  | -         | representative of inventor(s)<br>FR 1.42 or 1.43)   |
| -                                      |    |         |           |  |  |           | nventor or person showing a proprietary interest on of inventor who refused to sign or cannot be d                      |
| ı                                      |    |         |           |  |  |           | The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed. See Item 5D below for fee. |
| And mid Am Lind of the Said Shirt Said |    |         |           |  | ition on                                 |           | dersigned attorney or agent is authorized to file this of the applicant(s). An executed declaration will                |
| , 4 4                                  | 4. | Small I | Entity St | atus   |  |           |   |
|  |    |         | Applica   | ant claims small entity status. See 37 CFR 1.27. |  |           |   |
| per Hande Hande Hansa Manus Strands    |    |         |           | A smal   | ll entity                                | stateme   | ent is(are) attached.   |
|  | 5. | Additio | nal Pap   | ers Encl   | osed                                     |           |   |
|  |    |         |           | Prelimi  | nary Am                                  | nendmei   | nt  |
|  |    |         |           | Informa  | ation Di                                 | sclosure  | Statement   |
|  |    |         |           | Declara  | ation of                                 | Biologic  | al Deposit  |
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|  |    |         |           | Microfi  | iche con                                 | nputer p  | program   |
|  |    |         |           | Associ   | ate Pow                                  | er of A   | ttorney   |
|  |    |         |           | Verifie  | d transla                                | ation of  | a non-English patent application  |
|  |    |         |           | An ass   | ignment                                  | of the    | invention   |
|  |    |         |           | Reques   | st and C                                 | ertificat | ion Under 35 U.S.C. 122(b)(2)(B)(i)   |
|  |    |         | ⊠         | Return   | receipt                                  | postcar   | d   |
|  |    |         |           | Other  |  |           |   |

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

- ☐ are attached.
- □ will follow.

| COUNTRY | APPLICATION NO. | FILED |
|---------|-----------------|-------|
|         |                 | 4     |
|         |                 |       |
|         |                 |       |

# 7. Filing Fee Calculation (37 CFR 1.16)

|                       |                    |               | SMALL E | NTITY    | OTHER THAN A SMALL ENTITY |          |
|-----------------------|--------------------|---------------|---------|----------|---------------------------|----------|
|                       | NO. FILED          | NO. EXTRA     | RATE    | FEE      | RATE                      | FEE      |
| BASIC<br>FEE          |                    | ÷             | ي .     | \$355.00 |                           | \$710.00 |
| TOTAL                 | 25 -20             | = 5           | X 9 =   | \$       | X 18 =                    | \$90.00  |
| INDEP.                | 3 - 3              | = 0           | X 40 =  | \$       | X 80 =                    | \$       |
| ☐ First Pres<br>Claim | sentation of Multi | ple Dependent | + 135 = | \$       | + 270 =                   | \$       |
|                       | sentation of Multi | pie Dependent | + 133 - | •        | 7 270 -                   |          |

| 3.         |         | Design Application (\$160.00/\$320.00) Filing Fee: \$  |    |
|------------|---------|--|----|
| <b>c</b> . |         | Plant Application (\$245.00/\$490.00) Filing Fee: \$   |    |
| <b>)</b> . | Other I | Fees   |    |
|            |         | Recording Assignment [Fee \$40.00 per assignment]  | \$ |
|            |         | Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached [Fee \$130.00] | \$ |
|            |         | Other  | Ś  |

Total Fees Enclosed \$800.00

| 8. | Method | of | Pay | yment | of | Fees |
|----|--------|----|-----|-------|----|------|
|----|--------|----|-----|-------|----|------|

| ☒        | Enclosed check in the amount of:   | \$800.00 |
|----------|--|----------|
| <b>.</b> | Charge Deposit Account No. 13-2855 in the amount of: A copy of this Transmittal is enclosed. | \$       |

#### 9. Deposit Account and Refund Authorization

Not enclosed

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

#### 10. Correspondence Address

Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606-6402 (312) 474-6300 (312) 474-0448 (Telefacsimile)

By:

Mark G. Hanley

Reg. No: 44,736

July 30, 2001

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|----------|---------------|--------------------|-------------|
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| <u>⊈</u>                    |   |                                    |
|-----------------------------|---|------------------------------------|
| Applicants: Packwood et al. | ) | Title: Multi-Protocol Field Device |
| Applicants: Packwood et al. | ) | and Communication Method           |
| Serial No: 09/918,258       | ) |                                    |
|                             | ) | Group Art Unit: 2181               |
| Filed: July 30, 2001        | ) |                                    |
|                             | ١ | Evaminer: Unknown                  |

# TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents Washington, D.C. 20231

Attention: Official Draftsman

Sir:

Enclosed herewith are six (6) sheets of formal drawings for substitution in the above-referenced application.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606-6357 (312) 474-6300

By:

Mark G. Hanley Reg. No: 44,736

# **CERTIFICATE OF MAILING (37 CFR 1.8)**

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on **November 5**, **2001**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Wark G. Hanley